

# **CMS Net**

## **Errors and Alerts Messages**

**Appendix J 4400-4499**



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## **SURVEILANCE&UTILIZATION REVIEW UPDATE EDITS/ALERTS - 4400 THROUGH 4499**

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**Overview**

Below is a list of messages detailing the number, message title and directive to resolve errors or alerts.

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- 4400**      **INVALID RESTRICTION EFFECT DATE - NOT MEDS  
PENDING MMY**      **REJECT**
- A transaction was submitted to impose a restriction but the date on the transaction was not the next calendar (come-up) month.
- ACTION:** Correct and resubmit the transaction.
- 4402**      **INVALID S/URS INDICATOR ON TRANSACTION**      **REJECT**
- A transaction was submitted to either impose or lift a restriction but the transaction did not contain a valid restriction code.
- ACTION:** Correct and resubmit the transaction.
- 4404**      **RESTRICT STATUS LIFT-CURRENT, PENDING OR PRIOR  
MONTH**      **ACCEPT**
- This is a message to confirm that a restricted status has been successfully lifted for the current, pending or prior month.
- ACTION:** None.
- 4405**      **THIS RECIPIENT IS CURRENTLY ON BUR RESTRICTION**      **ALERT**
- A review of the recipient's current status during MEDS Renewal identified a service restriction in the restriction field.
- ACTION:** This is an informational message to be filed in the case folder. If a hand-typed card must be issued instead of requesting an ID card via MEDS, the type of restriction must be identified on the Medi-Cal card.

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**SSURVEILANCE&UTILIZATION REVIEW UPDATE EDITS/ALERTS**  
**4400 THROUGH 4499, Continued**

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**4406**

**EW11 RECORD FROZEN - S/URS RESTRICTION**  
**DIFFERENCES**

***ALERT***

An EW11 transaction has linked two records identified as belonging to the same individual. There were differences in the service restrictions between the two records. Both records have been revised so that each month has the most restricted status previously shown on either record. Only the first month in which a difference was found is shown on the report.

**ACTION:** Review both records to determine whether any change in the restricted status is needed.